

6/15/04  
Rd  
#14  
**OFFICIAL**

Re: Patent Application

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Barry J. Fiala and  
Mark B. Hodes  
**Assignee:** Riverborne  
Communications, Inc.  
**Appl. No.:** 10/041,934  
**Filed:** January 8, 2002  
**For:** Point-of-Sale Activation  
and Subsequent  
Registration of Products

**Confirmation No.:** 4908  
**Group Art Unit:** 2161  
**Examiner:** James Reagan  
**SUPPLEMENTARY IDS**  
**Docket No.:** A0,031

**RECEIVED**  
**CENTRAL FAX CENTER****JUN 03 2004**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313-1450

**SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56**

Submitted herewith on Form PTO/SB/08a is a listing of other prior art known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56. This supplemental disclosure is in addition to the Information Disclosure Statement submitted with the specification and is further in addition to the Supplemental Information Disclosure Statements submitted in this case.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

Because this Supplementary IDS is being filed prior to the mailing of any Office Action on the merits, no fee should be required for its submission. 37 C.F.R. § 1.97(b)(3). However, if any filing fee is required for the filing of this Supplementary IDS, please charge any such fee, or refund any overpayments, to Deposit Account 23-0125.

Respectfully submitted,

RIVERBORNE COMMUNICATIONS, INC.,

Assignee

Date: 6/3/2004

By: Russell H. Walker

Russell H. Walker  
Walker, McKenzie & Walker, P.C.  
6363 Poplar Ave., Suite 434  
Memphis, TN 38119-4896  
Tel. No. (901) 685-7428  
Registration No. 35,401  
Attorney for Assignee

Approved for use through 07/31/2008 OMB 0951-0001

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.~~

Substitute for form 1449A/FTO

**Complete if Known**

(Use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

Application Number	10/041,934
Filing Date	January 8, 2002
First Named Inventor	Barry J. Fiala
Art Unit	2161
Examiner Name	
Attorney Docket Number	AO.031

[illegible][illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP B01.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***

LAW OFFICES OF  
WALKER, MCKENZIE & WALKER, P.C.  
6363 Poplar Ave., Suite 434  
Memphis, Tennessee 38119-4896  
Tel: (901) 685-7428 • Fax: (901) 682-6488

RECEIVED  
CENTRAL FAX CENTER  
JUN 03 2004

## FAX COVER SHEET

OFFICIAL

To: James Reagan, Examining Attorney  
Of: U.S. Patent and Trademark Office

Transmitted to Fax Number: 1-703-872-9306

From: Russell H. Walker  
Client/Matter: Applicants: Barry J. Fiala and Mark B. Hodes  
Assignee: Riverborne Communications, Inc.  
Appl. No.: 10/041,934  
Filed: January 8, 2002  
Confirmation No.: 4908  
Group Art Unit: 2161  
Examiner: James Reagan  
For: Point-of-Sale Activation and Subsequent Registration  
of Products  
Attorney Docket No.: A0,031  
Date: June 3, 2004

NUMBER OF PAGES INCLUDING THIS PAGE: 4

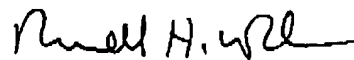
PLEASE CALL (901) 685-7428 IF YOU DID NOT RECEIVE ALL OF THE PAGES.

## COMMENTS:

- ☒ Original will not follow
- ☐ Original will follow by: U.S. Mail
- ☐ Please call upon receipt
- ☐ Response needed by:
- ☐ For your approval / suggestions
- ☒ Other message:

I hereby certify that the attached Supplementary Information Disclosure Statement Under 37 C.F.R. § 1.56 and Information Disclosure Statement by Applicant are being facsimile transmitted to James Reagan, Examining Attorney of the U.S. Patent and Trademark Office, at facsimile number 703-872-9306 on June 3, 2004.

Date:

June 3, 2004

Russell H. Walker  
U.S.P.T.O. Registration No. 35,401

THE INFORMATION CONTAINED IN THIS FACSIMILE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. ANYONE SO COOPERATING WILL BE REIMBURSED FOR ANY REASONABLE EXPENSE INCURRED. THANK YOU.